

For official use only: Customer Name	Customer No.
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PD F 1010 E
Department of the Treasury
Bureau of the Public Debt
(Revised August 2011)

OMB 1535-0117

**RESOLUTION FOR TRANSACTIONS
INVOLVING TREASURY SECURITIES**

www.treasurydirect.gov

IMPORTANT: Follow instructions in filling out this form. You should be aware that the making of any false, fictitious, or fraudulent claim or statement to the United States is a crime that is punishable by fine and/or imprisonment.

1. RESOLUTION

List the name(s) of individuals presently duly qualified and acting on behalf of the organization.

Resolved that:

_____ Name(s) and Title(s) of Authorized Individual(s)

is/are authorized to perform any and all transactions relating to United States Treasury Securities held in the name of

_____ Name of Organization _____ Taxpayer ID No./Account No.

either in its own right or in a fiduciary capacity, including the right to execute tax documents related to these securities.

If more than one individual is named, they are authorized to act separately jointly.
(If neither box is selected, the authority to act jointly will be assumed unless the names are joined by "or.")

If the Department of the Treasury requires a Bond of Indemnity to protect the interests of the United States, the named individual(s) are authorized to execute the Bond of Indemnity on behalf of this organization as principal surety principal or surety.
(If not completed, no authority will be assumed.)

It is further resolved that any action taken by the named individual(s) is hereby ratified and that this authorization shall remain in effect until revoked or for 1 year 2 years other _____ from the date of certification.
(One year will be assumed unless otherwise indicated.)

The named individual(s) are authorized to perform transactions relating to all accounts/securities only the accounts/securities specified on the attached additional pages (attach a page describing the accounts/securities).
(All accounts/securities will be assumed unless otherwise indicated.)

2. AUTHORIZATION—If the organization does not have a seal, you MUST wait until you are in the presence of a certifying officer to sign this form. The form must be signed by an officer other than the person(s) authorized in item 1 above.

I certify under penalty of perjury that the foregoing is a true copy of a resolution adopted at a meeting of the governing body of:

 Name of Organization Taxpayer Identification Number

and that said meeting was duly called and held and that the resolution was duly adopted and is in full force.

(SEAL OF THE ORGANIZATION)

 Signature(s) Date

 Title Telephone No.

3. CERTIFICATION—Certification required ONLY if organization's seal is NOT affixed above.

Instructions to Certifying Officer:

1. Name of person(s) who appeared and date of appearance **MUST** be completed.
2. Medallion stamps require an original signature.
3. Person(s) must sign in your presence.

I certify that _____, whose identity(ies) is/are
 Name of Person(s) Who Appeared

known or proven to me, personally appeared before me this _____ day of _____
 Month/Year

at _____ and signed this form.
 City/State

 Signature and Title of Certifying Officer

(OFFICIAL STAMP OR SEAL)

 Name of Financial Institution

 Address

ACCEPTABLE CERTIFICATIONS:
 Financial Institution's Official Seal or Stamp
 (such as Corporate Seal, Signature Guaranteed Stamp, or Medallion Stamp). **Brokers must use a Medallion Stamp.**

 City/State/ZIP Code

(Notary certification is NOT acceptable.)

 Telephone



Treasury Retail Securities

August 6, 2013

Customer: 0000843253S

Mike Lowe



MIKE LOWE
CITY OF FRANKLIN
109 THIRD AVE SOUTH STE 111
FRANKLIN TN 37064-2519

Dear Mr. Lowe:

This letter refers to your recent transaction and/or inquiry.

Please provide the Legacy Treasury Direct account number(s), the organization's taxpayer identification number (TIN), and **one** of the following:

- A written request for account verification on the organization's letterhead. The letter must contain an officer's signature and title, the Legacy Treasury Direct account number, and the organization's TIN.
- A certified copy of your organization's resolution, or original copies or pertinent extracts of other supporting documents (i.e., bylaws, charters, constitutions, or board minutes), that are certified by an officer who has access to the organization's records.
- The enclosed *Resolution for Transactions Involving Treasury Securities* (PD F 1010 E). An officer of the organization (other than yourself) who is responsible for maintaining the organization's records should sign and date the form. This officer cannot be among those named on the front of the form (i.e., an officer may not sign his or her own authorization to conduct transactions). In addition, the organization's seal should be affixed to the form. If the organization does not have a seal, the form must be certified.

Please list the information that needs to be verified, so that only a confirmation is necessary.

If you have any questions concerning this transaction, please contact one of our Legacy Treasury Direct® team members at 800-722-2678.

Sincerely,

Federal Reserve Bank of Minneapolis

Enclosure: PD F 1010 - Resolutions for Transactions
Return Envelope

For information about Treasury Retail securities, go to:
www.treasurydirect.gov



HISTORIC
FRANKLIN
TENNESSEE

ITEM #5
FINANCE
08-28-13

MEMORANDUM

August 22, 2013

TO: Board of Mayor & Aldermen

FROM: Eric S. Stuckey, City Administrator
Russ Truell, Assistant City Administrator

SUBJECT: Resolution to Update U S Treasury Account Information

Purpose

The purpose of this agenda item is to amend the contact information for staff members to authorize transactions in the City's account with the U S Treasury.

Background

The City of Franklin maintains an account with the United States Treasury to purchase and sell treasury securities. Purchasing securities through this account allows the city to avoid brokerage charges. This resolution updates the contact information authorizing staff to initiate transactions with the Treasury.

Financial Impact

There is no direct financial impact.

Recommendation

Staff recommends approval of the agreement.